

FORM PTO-1082
TRANSMITTAL FOR NEW U.S. PATENT APPLICATION

08/27/01
 U.S. PATENT & TRADEMARK OFFICE
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Assistant Commissioner
 for Patents
 Washington, D.C. 20231

BOX APPLICATIONS

Re: New U.S. Patent Application
 For: PROGRAM-SIGNAL RECORDING AND
 REPRODUCING APPARATUS
 Inventor(s): Nobuyuki GOTO
 Noriaki FUKUTSU
 Attorney Docket: 0102/0177

J1040 U.S. PTO
 09/938577
 08/27/01

Sir:

Attached hereto is the application identified above, including 73 pages of textual specification including 10 claims, and 5 sheets of drawings.

The Government filing fee is calculated as follows:

(Col 1)		(Col 2)		(Col 3)		SMALL ENTITY	NON-SMALL ENTITY	OR	
NO. FILED				NO. EXTRA		RATE	FEE	RATE	FEE
TOTAL	10	minus	20			x9=	0		
INDEP	4	minus	3	1		x40=	0		
<u>First Presentation, Multiple Dependent Claims</u>						+135=	0		
<u>Base Filing Fee</u>							\$355		
TOTAL FILING FEE* (accounting for possible small entity status)							\$	OR TOTAL	
								\$710	
								\$790	

*Reduced by one-half, as applicant(s) is/are a "small entity". A Declaration Claiming Small Entity Status:

is filed herewith;
 will be filed at a later date;
 was filed in the parent application.

Foreign priority is claimed under 35 U.S.C. § 119 from the following Japanese Patent Applications:

Japanese Patent Application No. 2000-290217 filed September 25, 2000
 Japanese Patent Application No. 2000-290218 filed September 25, 2000

Priority document(s) will be submitted at a later date.
 Priority document(s) is/are submitted herewith.

[] There is no claim to foreign priority under 35 U.S.C. § 119.

[XX] Executed Declaration(s) is/are submitted herewith.

[] Executed Declaration(s) will be submitted at a later date pursuant to 37 CFR § 1.41 and § 1.53, with an appropriate surcharge under 37 CFR § 1.16(e).

[] Formal drawing(s) is/are attached.

[XX] Formal drawing(s) will be submitted at a later date.

[] An Information Disclosure Statement, PTO-1449 and reference(s) cited therein is/are submitted.

[XX] Assignment document(s) is/are submitted herewith, along with Form PTO-1595; the recordation fee of \$40.00 per document is enclosed herewith.

[XX] A check in the amount of \$830.00 is enclosed. The Commissioner is hereby authorized to charge any deficiency under 37 CFR §§ 1.16 or 1.17, or credit any overpayment, to Deposit Account No. 50-0501. A duplicate copy of this form is attached.

[] No payment is enclosed at this time. Full payment will be made when the executed Declaration is submitted.

[XX] The Commissioner is hereby authorized to charge any fee deficiency, except the filing fee, *during the entire pendency of the present application*, or credit any overpayment, to Deposit Account No. 50-0501. A duplicate copy of this Form is enclosed.

Respectfully submitted,


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Date: Aug 21 2001